

# UL Certification Process

## INITIAL PHASE

<b>Sales Department</b>	<ul style="list-style-type: none"><li>• Determining the scope<ol style="list-style-type: none"><li>1. New family/model, alternative construction</li><li>2. Identifying the product category (CCN) and applicable standards</li><li>3. Additional certification solutions. Global Market Access: CCC (China), INMETRO (Brazil), etc.</li></ol></li><li>• Defining the work through formalities such as service contracts</li></ul>
<b>Project Planner</b>	<ul style="list-style-type: none"><li>• The Project Planner establishes the project plan with the customer</li><li>• Determination of the desired completion date</li><li>• The date for providing additional documentation is set by mutual agreement: information (schematics, list of materials), samples, etc.</li></ul>
<b>Customer Service Department</b>	<ul style="list-style-type: none"><li>• The customer service department is the main point of contact in this phase</li><li>• Receive samples and information from the customer</li><li>• Review administrative information (such as service contracts with the manufacturer)</li></ul>



## CONSTRUCTION, EVALUATION, AND TESTING PHASE

<b>Project Handler</b>	<ul style="list-style-type: none"><li>• Project Handler: customer's technical contact (project engineer) during construction analysis</li></ul>
<b>Project Reviewer</b>	<ul style="list-style-type: none"><li>• Project Reviewer: reviews the initial construction analysis</li></ul>
<b>Testing Lab</b>	<ul style="list-style-type: none"><li>• Samples are prepared for testing</li><li>• Tests are performed in UL Solutions' or the customer's laboratory (supervised/in-person/CTDP/TPTDP)</li><li>• In complex environments, it may be helpful to have the customer's personnel in UL Solutions' laboratory, or UL Solutions may perform tests in the customer's laboratory (in-person tests)</li></ul>



## CERTIFICATION AND MONITORING PHASE

<b>Project Handler</b>	<ul style="list-style-type: none"><li>• Finalizing all technical matters</li></ul>
<b>Project Reviewer</b>	<ul style="list-style-type: none"><li>• Final joint verification between the customer and UL Solutions before the final review</li><li>• Sending the authorization notice and the finalization notice</li></ul>
<b>Regional Transaction Center</b>	<ul style="list-style-type: none"><li>• Completing documentation and providing it to the customer</li></ul>
<b>Monitoring Services</b>	<ul style="list-style-type: none"><li>• Initial production inspection (IPI) scheduled with a UL Solutions representative, if applicable</li><li>• The manufacturing facility must be ready for operation, if applicable, to perform monitoring service tests</li><li>• Periodic follow-up inspections help to maintain the product's conformity during production.</li></ul>

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